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2 **IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

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4 Application Serial No. 09/675,693
5 Filing Date 9/29/2000
6 Inventor..... Michail, et al.
7 Applicant Microsoft Corporation
Group Art Unit 2627
Examiner..... Shah, Sanjiv
Attorney's Docket No. MS1-657US
Title: Event Routing Model For An Extensible Editor

8 **PETITION UNDER 37 C.F.R. §1.182**

9

10 To: Commissioner for Patents
11 PO Box 1450
12 Alexandria, VA 22313-1450

13 From: Michael K. Colby (Tel. 509-324-9256; Fax 509-323-8979)
14 **Customer # 22801**
421 W. Riverside, Suite 500
Spokane, WA 99201

15 * * * * *

16 As the undersigned attorney, I hereby declare that:

17 This application was filed on September 29, 2000, with Missing Parts. The
18 order of inventors was correctly listed on the cover page of this application, a copy of
19 which is attached hereto as Exhibit A. A Filing Receipt was subsequently issued,
20 also citing the correct order of inventors, a copy of which is attached hereto as
21 Exhibit B.

22 A Response to Missing Parts was filed on October 16, 2001, which included a
23 fully-executed Declaration for Patent Application, a copy of which is attached hereto
24 as Exhibit C. The Declaration for Patent Application inadvertently listed inventors'
25

1 signature blocks in the wrong order. The correct order of inventors' signature blocks
2 on the Declaration should have been 1) Ashraf A. Michail; 2) Mark A. Alcazar; and
3) John G. Bedworth. Evidence of the correct order of inventors is evidenced by the
4 title page of Exhibit A.

5 After the filing of the Declaration for Patent Application, all documents
6 submitted by the Patent Office indicate the First Named Inventor as being Mark A.
7 Alcazar. An Information Disclosure Statement dated January 7, 2004, which
8 indicates "Michail" as the first-named inventor in the header of each page of the
9 document, attached hereto as Exhibit D, is evidence of the fact that the records of this
10 office reflect that Ashraf A. Michail is the first-named inventor.

11 In view of the above, Applicant requests that the Office change the Record to
12 indicate the order of inventors on this application to be: 1) Ashraf A. Michail; 2)
13 Mark A. Alcazar; and 3) John G. Bedworth.

14 All statements made herein of my own knowledge are true, and all
15 statements made on information and belief are believed to be true. Further, these
16 statements are made with the knowledge that willful false statements and the like
17 so made are punishable by fine or imprisonment, or both, under Section 1001 of
18 Title 18 of the United States Code and that such willful false statement may
19 jeopardize the validity of the application or any patent issued therefrom.

20 Respectfully Submitted,

21 Date: 18 Jan 04

22 By: Michael K. Colby
Michael K. Colby
Reg. No. 45816

Exhibit A

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
APPLICATION FOR LETTERS PATENT

Event Routing Model For An Extensible Editor

Inventors:

Ashraf Michail
Mark Alcazar
John Bedworth

ATTORNEY'S DOCKET NO. MS1-657US

Exhibit B



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/675,693	09/29/2000	2152	0.00	MS1-657US	7	47	9

CONFIRMATION NO. 1489

FILING RECEIPT



OC000000006840998

James R Banowsky
Lee & Hayes PLLC
Suite 500
421 W Riverside Avenue
Spokane, WA 99201

Date Mailed: 10/03/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Ashraf Michail, Residence Not Provided;
Mark Alcazar, Residence Not Provided;
John Bedworth, Residence Not Provided;

Domestic Priority data as claimed by applicant

Foreign Applications

If Required, Foreign Filing License Granted 10/01/2001

Projected Publication Date: Not Applicable, filed prior to November 29,2000

Non-Publication Request: No

Early Publication Request: No

Title

Event routing model for an extensible editor

Preliminary Class

709

**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

Exhibit C

1 IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

2 Serial No. 09/675,693
3 Filing Date 9/29/00
4 Inventorship Alcazar et al.
5 Applicant Microsoft Corporation
6 Attorney's Docket No. MS1-657US
7 Title: Event Routing Model For An Extensible Editor

5 **DECLARATION FOR PATENT APPLICATION**

6 As a below named inventor, I hereby declare that:

7 My residence, post office address and citizenship are as stated below next to
8 my name.

9 I believe I am the original, first and sole inventor (if only one name is listed
10 below) or an original, first and joint inventor (if plural names are listed below) of the
11 subject matter which is claimed and for which a patent is sought on the invention
12 entitled "Event Routing Model For An Extensible Editor," referenced above.

13 I have reviewed and understand the content of the above-identified
14 specification, including the claims.

15 I acknowledge the duty to disclose information which is material to the
16 examination of this application in accordance with Title 37, Code of Federal
17 Regulations, § 1.56(a).

18 PRIOR FOREIGN APPLICATIONS: no applications for foreign patents or
19 inventor's certificates have been filed prior to the date of execution of this
20 declaration.

21 **Power of Attorney**

22 I appoint the following attorneys to prosecute this application and transact all
23 future business in the Patent and Trademark Office connected with this application:
24 Lewis C. Lee, Reg. No. 34,656; Daniel L. Hayes, Reg. No. 34,618; Allan T.

Sponseller, Reg. 38,318; Steven R. Sponseller, Reg. No. 39,384; James R. Banowsky, Reg. No. 37,773; Lance R. Sadler, Reg. No. 38,605; Michael A. Proksch, Reg. No. 43,021; Thomas A. Jolly, Reg. No. 39,241; David A. Morasch, Reg. No. 42,905; Kasey C. Christie, Reg. No. 40,559; Nathan R. Rieth, Reg. No. 44,302; Brian G. Hart, Reg. No. 44,421; Katie E. Sako, Reg. No. 32,628 and Daniel D. Crouse, Reg. No. 32,022.

Send correspondence to: LEE & HAYES, PLLC, 421 W. Riverside Avenue, Suite 500, Spokane, Washington, 99201. Direct telephone calls to: James R. Banowsky (509) 324-9256. 



22801

PATENT TRADEMARK OFFICE

All statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statement may jeopardize the validity of the application or any patent issued therefrom.

* * * * *

Full name of inventor:

Mark A. Alcazar

Inventor's Signature

Date: 11.03.00

Residence:

Seattle, WA

Citizenship:

Trinidad

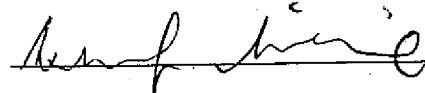
Post Office Address:

615 E. Pike Street, Apt. 305
Seattle, WA 98122

1 Full name of inventor:

Ashraf A. Michail

2 Inventor's Signature



Date: Nov. 3 / 2000

3 Residence:

Redmond, WA

4 Citizenship:

Canada

5 Post Office Address:

15606 NE 40th Street, Apt. Q-363
Redmond, WA 98052

6

7 Full name of inventor:

John G. Bedworth

8 Inventor's Signature



Date: 11/3/2000

9 Residence:

Redmond, WA

10 Citizenship:

US

11 Post Office Address:

16733 NE 41st Street
Redmond, WA 98052

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Exhibit D

Please type a plus sign (+) inside this box →

PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

		Application Number	09/675,693
		Filing Date	Sep 29, 2000
		First Named Inventor	Michail
		Group Art Unit	
		Examiner Name	
Total Number of Pages in This Submission		Attorney Docket Number	MS1-657US

ENCLOSURES (check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) Sheets <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Return Receipt Post Card; PTO Form 1449; (9) references
Remarks		22801

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	James R. Banowsky, 37,773
Signature	
Date	1-7-04

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this date: _____

Typed or printed name	Laurie S. Morgan
Signature	
Date 1/17/04	

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS.

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FEE TRANSMITTAL

for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

 Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ 0.00)

Complete if Known

Application Number	09/675,693
Filing Date	Sep 29, 2000
First Named Inventor	Michail
Examiner Name	
Art Unit	
Attorney Docket No.	MS1-657US

METHOD OF PAYMENT (check all that apply)

 Check Credit card Money Order Other None
 Deposit Account:

Deposit Account Number	12-0769
Deposit Account Name	Lee & Hayes, PLLC

The Director is authorized to: (check all that apply)

Charge fee(s) indicated below Credit any overpayments
 Charge any additional fee(s) or any underpayment of fee(s)
 Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

Large Entity	Small Entity	Fee Description	Fee Paid
Fee Code (\$)	Fee Code (\$)		
1001 770	2001 385	Utility filing fee	
1002 340	2002 170	Design filing fee	
1003 530	2003 265	Plant filing fee	
1004 770	2004 385	Reissue filing fee	
1005 160	2005 80	Provisional filing fee	
SUBTOTAL (1) (\$ 0.00)			

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	Independent Claims	Multiple Dependent	Fee from	Fee Paid
			Extra Claims	
			-20** =	
			X	
			=	
			- 3** =	
			X	
			=	

Large Entity	Small Entity	Fee Description
Fee Code (\$)	Fee Code (\$)	
1202 18	2202 9	Claims in excess of 20
1201 86	2201 43	Independent claims in excess of 3
1203 290	2203 145	Multiple dependent claim, if not paid
1204 86	2204 43	** Reissue independent claims over original patent
1205 18	2205 9	** Reissue claims in excess of 20 and over original patent
SUBTOTAL (2) (\$ 0.00)		

**or number previously paid, if greater; For Reissues, see above

3. ADDITIONAL FEES

Large Entity Small Entity

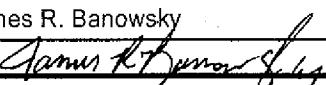
Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
1051 130	2051 65	Surcharge - late filing fee or oath	
1052 50	2052 25	Surcharge - late provisional filing fee or cover sheet	
1053 130	1053 130	Non-English specification	
1812 2,520	1812 2,520	For filing a request for ex parte reexamination	
1804 920*	1804 920*	Requesting publication of SIR prior to Examiner action	
1805 1,840*	1805 1,840*	Requesting publication of SIR after Examiner action	
1251 110	2251 55	Extension for reply within first month	
1252 420	2252 210	Extension for reply within second month	
1253 950	2253 475	Extension for reply within third month	
1254 1,480	2254 740	Extension for reply within fourth month	
1255 2,010	2255 1,005	Extension for reply within fifth month	
1401 330	2401 165	Notice of Appeal	
1402 330	2402 165	Filing a brief in support of an appeal	
1403 290	2403 145	Request for oral hearing	
1451 1,510	1451 1,510	Petition to institute a public use proceeding	
1452 110	2452 55	Petition to revive - unavoidable	
1453 1,330	2453 665	Petition to revive - unintentional	
1501 1,330	2501 665	Utility issue fee (or reissue)	
1502 480	2502 240	Design issue fee	
1503 640	2503 320	Plant issue fee	
1460 130	1460 130	Petitions to the Commissioner	
1807 50	1807 50	Processing fee under 37 CFR 1.17(q)	
1806 180	1806 180	Submission of Information Disclosure Stmt	
8021 40	8021 40	Recording each patent assignment per property (times number of properties)	
1809 770	2809 385	Filing a submission after final rejection (37 CFR 1.129(a))	
1810 770	2810 385	For each additional invention to be examined (37 CFR 1.129(b))	
1801 770	2801 385	Request for Continued Examination (RCE)	
1802 900	1802 900	Request for expedited examination of a design application	

Other fee (specify) _____

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 0.00)

(Complete if applicable)

Name (Print/Type)	James R. Banowsky	Registration No. (Attorney/Agent)	37,773	Telephone (509) 324-9256
Signature				
Date	1-7-04			

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Serial No. 09/675,693
Filing Date Sep 29, 2000
Inventorship Michail
Applicant Microsoft Corporation
Attorney's Docket No. MS1-657US
Title: Event Routing Model For An Extensible Editor

INFORMATION DISCLOSURE STATEMENT

References -- See Attached Form PTO-1449

REMARKS

The citations listed, copies attached, are submitted in compliance with the duty of disclosure defined in 37 CFR §1.56. The Examiner is requested to make these citations of official record in this application.

Respectfully Submitted,

Date: 1-7-04

By: James R. Banowsky
James R. Banowsky
Reg. No. 37,773

Please type a plus sign (+) inside this →

Substitute for form 1449A/PTO				<i>Complete if Known</i>	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Application Number	09/675,693
				Filing Date	Sep 29, 2000
				First Named Inventor	Michail
				Group Art Unit	
				Examiner Name	
Sheet	1	of	2	Attorney Docket Number	MS1-657US

Examiner Signature		Date Considered	
-----------------------	--	--------------------	--

***EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U. S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Please type a plus sign (+) inside this box → +

Substitute for form 1449B/PTO				Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Application Number	09/675,693
				Filing Date	Sep 29, 2000
				First Named Inventor	Michail
				Group Art Unit	
				Examiner Name	
(use as many sheets as necessary)				Attorney Docket Number	MS1-657US
Sheet	2	of	2		

NON PATENT LITERATURE DOCUMENTS

Examiner Signature		Date Considered	
-----------------------	--	--------------------	--

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U. S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.